

In the United States Patent and Trademark Office

Applicant(s): Alan M. Buckwalter	Docket No.:	17209-440CP1
Serial No.: 10/697,541	Art Unit:	3694
Filed: October 30, 2003	Examiner:	Merchant, Shahid R.
For: Method and Apparatus for Monitoring and Evaluating Limit Order Trading		

Mailstop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT/RESPONSE ACCOMPANYING RCE, 37 CFR §1.114

Sir:

Applicant respectfully requests re-opening of prosecution of the instant application in view of the concurrently filed Request for Continued Examination and Amendment/Response responsive to the Final Office Action dated May 22, 2008 (hereafter "Office Action"), the Examiner's Answer dated January 06, 2009 (hereafter "Examiner's Answer"), the Decision on Appeal dated November 10, 2009 (hereafter "Decision on Appeal"). Applicant respectfully requests entry of the following amendments, and reconsideration of the above-referenced application, in view of the following amendments and/or remarks.

Amendments to the Claims are reflected in the listing of claims begin on page 2.

Remarks begin on page 12 of this paper.

35 USC § 103 remarks begin on page 12 of this paper.